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PATENT & TRADEMARK OFFICE

**Practiti ner's Docket No. SST/1275** ***PATENT***

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Charles Roesset, Louay A. Shamroukh

Application No.: 10/680,585
Filed: October 6, 2003
For: Top Flange Stud to Plate Tie

Group No.: N/A
Examiner: N/A

**Commissioner for Patents**
**P.O. Box 1450**
**Alexandria, VA 22313-1450**

## INFORMATION DISCLOSURE STATEMENT

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Identification of Person(s) Making This Information Disclosure Statement

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** Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 1

| Complete if Known | |
|---|---|
| Application Number | 10/680,585 |
| Filing Date | 10/06/2003 |
| First Named Inventor | Charles Roesset |
| Art Unit | N/A |
| Examiner Name | N/A |
| Attorney Docket Number | SST/1275 |

## U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | | US- 4,714,372 | 12/22/1987 | Commins | |
| | | US- 5,109,646 | 05/05/1992 | Colonias et al. | |
| | | US- 5,335,469 | 08/09/1994 | Stuart | |
| | | US- 5,380,115 | 01/10/1995 | Colonias | |
| | | US- 5,380,116 | 01/10/1995 | Colonias | |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3]–Number[4]–Kind Code[5] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
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| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 1

| *Complete if Known* | |
|---|---|
| *Application Number* | 10/680,585 |
| *Filing Date* | 10/06/2003 |
| *First Named Inventor* | Charles Roesset |
| *Art Unit* | N/A |
| *Examiner Name* | N/A |
| *Attorney Docket Number* | SST/1275 |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | | UNITED STEEL PRODUCTS COMPANY, "USP Lumber Connectors," Strap Ties - KHST, KRPS & PS Series, United Steel Products Company (U.S.A.), front, back and page 84, (2000). | |
| | | SIMPSON STRONG-TIE COMPANY, INC., "Wood Construction Connectors," Simpson Strong-Tie Company, Inc. (U.S.A.), front, back and pages 105 & 114, (2003). | |
| | | SIMPSON STRONG-TIE COMPANY, INC., "Wood Construction Connectors," Simpson Strong-Tie Company, Inc. (U.S.A.), front, back and pages 68, 97, 109, 117, 119, 122-125, 131, 135-140 (2002). | |
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| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Applicant's unique citation designation number (optional). [2] Applicant is to place a check mark here if English language Translation is attached.

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**Section 3. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all non-patent prior art listed in Forms PTO/SB/08B (substitute for Form PTO-1449) accompany this information statement.

**Section 4. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: JAN. 26, 2004

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